

The Dutch Open Access proposition.

Kurt De Belder
University Librarian
Director Leiden University Libraries & Leiden University Press

Open-Access-Tage Zürich

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**Universiteit
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Dutch landscape

- UKB negotiated with most large and mid-size scholarly publishers big deals. Costs are divided up among participating university libraries according to a certain model.
- Green open access in repositories had levelled off (reached a plateau).
- Price increases for big deals became more & more untenable.
- Although UKB negotiations were successful financially, we didn't make headway with OA.
- OA was not really on the agenda of the executive board of universities.
- Developments with regard to additional OA/APC costs in UK besides the big deal were seen as undesirable.

Position of Dutch Government - 1

Letter of Secretary of State to *Tweede Kamer* of 15 November 2013 on Open Access, 31288 nr 354.

<https://zoek.officielebekendmakingen.nl/kst-31288-354.html>

- Open Access is a priority, preference for gold OA.
- “Importance of a fast and budgetary neutral transition to open access.”
- Asks universities, VSNU (Association of Dutch Universities), NWO, KNAW, UKB, SURF, DANS and publishers to work together to achieve this.
- Ministry of OCW (Education, Culture, & Science) will take an active role in these discussions.
- “The goal for deals in 2014 is that publishers turn their journals into OA journals or that they are ready to make deals where the cost of OA publishing is offset by the license fees [i.e. big deal]...Worldwide access to scholarly publications needs to be maintained for researchers.”

Position of Dutch Government - 2

- Secretary of State will push for European and international action and will monitor the progress here in The Netherlands. Requirement:
 - In 2018: 60% open access articles in peer reviewed journals
 - In 2024: 100%
- If the different parties (universities & publishers) do not show sufficient effort or if the developments do not move forward sufficiently, the Secretary of State will introduce legislation in 2016 with regard to Open Access.

Position of Dutch Government - 3

Letter of Secretary of State to *Tweede Kamer* of 23 January 2015
on progress open access

<http://www.rijksoverheid.nl/documenten-en-publicaties/kamerstukken/2015/01/23/kamerbrief-voortgang-open-access-wetenschappelijke-publicaties.html>

- Works together with his British colleague on a joint EU open access policy. No principal choice for gold or green, but the premise is that embargoes have to be minimal. Refers to NWO and Gates Foundation policy: preference for gold, green is alternative, but only without embargo periods. (Since then a non-paper has been issued).
- During the Dutch EU-presidency in 2016: open science will be a priority.
- With universities, VSNU and NWO agreements have been made for a intensified focus on open access.
- In negotiations with publishers success in this area has been reached with Springer.
- Agreements have been made to monitor whether the goal percentages are reached.

Position of Dutch Government - 4

- Refers to publishers:
 - “A lot of appreciation on how Springer makes steps towards OA. With Springer a deal has been reached which is within the budgetary constrictions and which allows for making publications by Dutch university researchers available in open access in existing journals (about 1.500 journal titles) and access by university to the Springer journals (about 1.900 titles). A win-win situation has been reached for Dutch science and the publisher.”
 - Refers to the difficulties with Elsevier.
 - “The negotiations with Sage, Wiley and Oxford University Press have recently started. I assume that these publishers will have a constructive attitude in the negotiations.”

Dutch & UK position

Non-paper on open science: open access to publications and data,
3 March 2015 – United Kingdom and the Netherlands

<https://www.rijksoverheid.nl/documenten/publicaties/2015/03/23/non-paper-open-acces-to-publications-and-data>

“Acknowledging the different views of member states on how to achieve open access and recognizing the right of member states to establish their own policy towards the subject, this non-paper seeks to describe the mutual agreement of member states on the importance of open access for a successful European Research Area.”

“Member states will encourage their research- and higher education institutions to actively manage the change in budget administration to support the transition to open access whilst balancing the funding of APCs and subscription fees without disadvantages for individual researchers.”

“During transition, measures will be required on other subscription/licensing costs to prevent excessive total costs where institutions/researchers pay both APCs and licences for a mix of open access and non-open access journals.”

Changes in Dutch authorship law / OA

From July 1st 2015: to make available an article via OA has become an inalienable right.

- Articles.
- Research in whole or in part funded by the Dutch public purse.
- The published version must be named when it is made available via OA.
- The OA version can be made available after a “reasonable” period.

New negotiation set up - 1

Under leadership of university presidents [UP] (VSNU).

Supported by university librarians [UL] (UKB), SURF licensing office & VSNU staff.

Negotiations prepared by UKB licensing committee.

Negotiation team: UP [sometimes UL] (lead), UL, project leader VSNU, SURF licensing staff member.

President of VSNU sends letter to publisher outlining our approach.

Negotiation team starts negotiations, with UKB licensing committee in background providing further support.

VSNU informs the Ministry of Education, Culture & Science on progress with negotiations.

A lot of attention is paid to keeping scholars & the national media informed.

New negotiation set up - 2

The VSNU board of university presidents decides on accepting or refusing a particular deal after being informed by negotiation team & UKB.

Scenario's have been developed on how to handle the situation when VSNU/UKB can't reach a deal with a specific publisher.

We are also gearing up to provide statistics about our progress towards the OA aims of the government.

General outline Springer deal

- Big deal remains in place but has been flipped: all articles from researchers (as corresponding author) from Dutch universities will be published OA worldwide without any further costs in the hybrid/open choice journals of Springer. Plus we retain access to the closed access articles. Has a minimal price cap.
- Articles published in OA Springer journals have to be paid for (APC). I.e. these are not part of the big deal.
- Additional costs vis-à-vis previous big deal: only minimal price-cap and minimal additional money.

General outline Wiley deal

- We have a one year agreement for 2015 for the Surf Collection and Core Collection (the big deal).
- Excluded titles (not part of big deal) handled directly with universities.
- In September 2015 an OA programme will start. Details of the pilot still need to be decided upon.
- We will receive a discount on the license fee 2016 that covers the expenditure of OA publishing in the period September – December 2015.
- Additional costs vis-à-vis previous big deal: none.

General outline Sage deal

- Price-cap is reduced.
- Part of price-cap reduction is used a pre-payment for APC's. And APC's are reduced significantly.
- A certain amount of money is pre-paid for APC's.
- This will mean that a substantial amount of the Dutch production in Sage is available in gold OA without further handling charges.
- Additional costs vis-à-vis previous big deal: minimal

General outline OUP deal

- OUP was not ready for an OA deal covering 2015. We continued existing big deal.
- 70% of their journals are owned by scholarly societies.
- A senior level meeting OUP/VSNU/UKB has been organised in 2015 to explore OA possibilities for 2016 contract.
- We are awaiting OUP OA proposal (will arrive mid-september).

- Additional costs vis-à-vis previous big deal: none

General outline Elsevier deal

- No deal with OA provisions was reached for 2015. One year big deal for 2015 was agreed upon to allow for further negotiations.
- We have cancelled our big deal starting from January 1st 2016.
- Talks do continue.
- A lot of national media coverage.
- Scenario's have been developed for 2016 in case we don't reach an agreement.
- Dutch authors, editors & editors-in-chiefs of Elsevier journals have been contacted to explore ways to boycott Elsevier journals in case no deal is reached.

Next in line

- ACS
 - Taylor & Francis
 - Kluwer
-
- We do make different deals as long as there is substantial OA progress based on budget neutrality (cost OA is offset by licensing fee).



Alexander Grossmann

@SciPubLab

 Volgen

Let's see [@OATage](#) 2015 if we are continuing to talk about what OTHERS should do to foster [#OA](#) or if there'll be plans what WE can do? [#oat15](#)

 Vertaling weergeven

FAVORIET

1




01:45 - 4 sep. 2015





Results of publicly financed research should be online and freely available. That is the aim of the open access movement. This website gives information on recent developments and practical advice.

 [@Open_access](#)
UKB tekent The Hague Declaration over open science
<http://t.co/eBWX3XcVY8>

Events

www.openaccess.nl completely overhauled

31 AUGUST 2015

The national website on open

NWO modifies its criteria for open access publishing

03 AUGUST 2015

NWO has modified the criteria for

Amendment to Copyright Act

07 JULY 2015

In the wake of the Dutch House of Representatives, the Senate has

Thank you for your attention!

Questions?

k.f.k.de.belder@library.leidenuniv.nl
www.linkedin.com/in/kurtdebelder
[@KurtDeBelder](#)